SOABSE: An Approach to Realizing Business-Oriented Security Requirements with Web Service Security Policies

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Abstract— A critical issue in developing Web Service-based business applications is the realization of business-level security requirements with system-level security mechanisms using the WS-* standards. Current practice has primarily relied on the engineer’s experience and lacks consistency and methodological support. This paper introduces an approach to Web Services security engineering called SOABSE, which systematically models, designs and implements security for a WS-based application from a given set of business-oriented security requirements. It includes 1) a stepwise process that systematically transforms business-level security requirements into system-level WS-* security policies, and relies on 2) a security realization model that maps business-level security objectives to WS-* security realization mechanisms and 3) a security deployment model that sets out the security-oriented Web Service deployment information. A prototype tool supporting the approach is also introduced.

Keywords: security attributes, WS-Security, security models

I. INTRODUCTION

Addressing security requirements in large SOA systems pose new challenges because of the distributed, inter-organizational nature of such systems [1]. Security requirements are not pure technical-level concerns as many security requirements come from business and legal requirements such as Sarbanes Oxley and Basel II Accord. An SOA system may operate in different contexts and countries and thus must adhere to different rules and regulations with regards to security of the system. Because of the context-sensitive nature of security settings for SOA systems, many aspects of security in Web Services (WS) (as the main implementation technology for SOA) are externalized from the application logic and are provided by WS container/infrastructure using declarative, policy-based “instructions”. Security settings for a WS-based application are represented in WS-SecurityPolicy and are applied (or attached) to various WS and related elements. Such policies are then enforced at runtime using WS-Security infrastructure provisions, which are configured with the application’s security context.

For WS-based SOA systems using WS-Security, ensuring traceability for security requirements in design and deployment is a major challenge. In particular, how to guarantee that WS security policies created by software engineers at the system-level reflect the original business-level requirements remains an unsolved issue. This is because 1) there is the lack of a process that the engineers can follow to create WS security policies from business-level requirements and 2) WS security policies focus on “how” security measures are performed, not on “what guarantee” such measures provide. Moreover, current system development approaches typically integrate security into systems in ad hoc manners and only consider security at the deployment or system administration phase [2].

In this paper, we introduce a process-driven approach called SOABSE (SOA-Business Security Engineering) and related techniques to improve the current development practice of WS-based software systems. The process starts with the modeling of security objectives at business-level which are then systematically mapped into security measures performed on WS in the form of WS security policies. This systematic process and associated techniques provide better requirements traceability for security, assist business analysts in formulating security requirements and help software engineers in interpreting business requirements thus minimizing mistakes compared to handcrafting WS security policies.

Underpinning the SOABSE process are three general models that the analysts and engineers use. The generic security model sets out the security attributes and links them to the corresponding realization mechanisms (i.e., security functions and eventually security policies). For each WS-based application, an instantiation of the security deployment meta-model is made to systematically capture the application’s specific security context. An instantiation of the business entity meta-model for an application captures the business entities and their relationships, and provides the basis for stating business-level security requirements and relating WS security policies to WS elements.

This paper is organized as follows. Section 2 presents a motivating business scenario from which business-level security requirements are extracted. Section 3 presents the SOABSE framework including the process, the three general models, and the related transformation techniques illustrated by a running example. In section 4 a prototype tool supporting SOABSE is presented. Discussion of related work and evaluation of SOABSE are presented in sections 5 and 6 and the paper concludes in section 7.
II. A MOTIVATING EXAMPLE

In this section, we present a business scenario at a hypothetical multi-national bank named SwinBank, including a business process implemented in SOA. At SwinBank, when a Customer applies for a mortgage loan, a LoanOfficer accepts the application and triggers the bank’s loan approval process. The bank arranges a professional appraiser to estimate the market value of the collateral property. Meanwhile, the customer’s TaxFileNumber is forwarded to a CreditCheckingUnit to verify the CustomerCreditHistory. A list of credit scores from credit rating agencies is then obtained. The final step is to check the customer’s RepaymentCapacity by assessing the income against the amount to be repaid and a decision is made whether to approve the loan.

A WS-based implementation of the business process called SwinMortgage is being conducted at SwinBank. The system is made up of a Web front-end called SwinMortgageWeb for the LoanOfficer to use, a BPEL business process called SwinMortgageBPEL implementing the loan processing procedure, and a number of WSs for the processing activities. Those include a third party PropertyAppraiser and CreditRater services and an internal RepaymentCapacityAnalyser service.

Applicable Rules, Regulations and SwinBank Business Policies. The operation of SwinBank is subject to many rules and regulations, in particular, the Australian Privacy Act 1988 (APA) [3], Sarbanes-Oxley 404, and Basel II. Here we take the APA as an example. The following are some security-oriented requirements identified from APA.

1. APA principle 6: A record-keeper who has possession or control of a record that contains personal information shall take such steps … to ensure:
   - that the record is accurate (R1).
2. APA principle 4: A record-keeper who has possession or control of a record that contains personal information shall ensure:
   - that the record is protected …against unauthorized access, use, modification or disclosure, and against other misuse (R2); and
   - that … everything reasonably … is done to prevent unauthorized use or disclosure of information contained in the record (R3).


- Loan applicants must not be able to repudiate the lodging of a loan and the bank must not be able to repudiate the receipt of a loan application (R4).

The above security-oriented business and legal requirements (R1-R4) must be addressed in SwinMortgage and because of their potential changes and context-sensitivity it is desirable that the requirements are externalized from the functional logic of SwinMortgage. For that reason, the development team of SwinMortgage decides that the security aspects related to service interactions and message protection will be provided through a WS Security infrastructure such as Apache Rampart which is engaged with the Application Server where the WS and applications are deployed.

The task now is to define WS security policies to address the original business requirements. A challenge in doing so is to ensure that all relevant business requirements are properly stated, interpreted and implemented by SwinMortgage developers. Furthermore, manually creating WS security policies and using WS-PolicyAttachment is tedious and error-prone. To address these issues, a process and associated techniques are required that systematically support the modeling, design and implementation of security for WS-based software systems from a set of business requirements.

III. SECURITY MODELING, DESIGN AND DEPLOYMENT

We present in this section the SOABSE security engineering process for WS-based systems. We start by presenting an overview of the process followed by a detailed discussion of each step and the models and techniques used.

Fig. 1 shows an overview of SOABSE. It is based on the conventional software development process (for functionality), but incorporates in parallel the additional aspects relating to security-oriented modeling, design and deployment. Security is not considered only a system-level concern and should not be considered an “afterthought” when the functional development is completed. Instead, it should start with the identification and modeling of security requirements at the business analysis phase and continue throughout the system development lifecycle.

The business analysis phase for an application results in (among other artifacts) a business entity model capturing the business entities and their relationships relevant to the application. The security-oriented business policies are formulated as a set of business security objectives relative to the business entities. At the design phase, abstract service elements such as abstract WSs and BPEL processes are identified and are annotated with entities from the business entity model. Based on the annotation, service security objectives, which apply security attributes on the identified abstract service elements, are derived from the business security objectives.

At the implementation phase, a security deployment model for the application is developed following the security deployment meta-model to set out the security configurations for the infrastructure support. The security objectives are translated into security functions to be performed on the service elements, using the generic security model with reference to the security deployment model. These security functions are then mapped to WS-SecurityPolicy configurations for use at runtime by the WS-Security infrastructure to provide security enforcement.
on top of the application’s WS implementation. Details of the security deployment model are also translated into configuration for the security infrastructure. Details of each step and the models and techniques involved are discussed in the following subsections.

A. Identifying Business-Level Security Objectives

At the business analysis phase we advocate the modeling of business entities in the application through an application business entity model. This model is created based on an analysis of the functional requirements to extract the relevant “entities” (which are typically nouns in the business requirements). We expect that this model will serve as the starting point for the creation of ER or UML diagrams in the design phase.

A business entity in the application’s business entity model represents a business-oriented concept from the application. It can either be 1) a processor: performing business logic at request (e.g., LoanProcessor); 2) a dataItem: holding business data (e.g., CustomerTaxFileNumber); or 3) a userRole: representing a user role (e.g., LoanOfficer) in an organization, having access to dataItems, and being able to ask processors to perform actions. In an SOABSE business entity model, each entity is a direct or indirect specialization of one of those three basic entity types. Using this approach, applications can be viewed as compositions of interacting entities. We argue that such a model is simple and intuitive enough for use by business analysts.

The entities in the SwinMortgage application include 1) dataItems: LoanData, CreditHistory and TaxFileNumber; 2) processors: PropertyAppraiser, CreditChecker, RepaymentCapacityAnalyser, and SwinMortgageBPEL; 3) userRoles: LoanOfficer. Part of the business entity model for SwinMortgage can be seen in Fig. 2.
identify business security objectives, the analyst can use security requirement elicitation techniques [6] or the Unified Compliance Framework (www.unifiedcompliance.org).

Note that in principle, such security protection can be provided by a WS infrastructure given the necessary specifications in WS-SecurityPolicy. In this approach, we do not consider security attributes that are related to the persistence of data in a database or the processing of data inside service implementation as such features often need to be mixed with functional logic of the application and are not supported by WS-Security.

Table 1 presents a subset of business security objectives for SwinMortgage corresponding to the business requirements (R1-R4) identified earlier.

<table>
<thead>
<tr>
<th>Business Requirements</th>
<th>Business Security Objectives</th>
</tr>
</thead>
<tbody>
<tr>
<td>(R1)</td>
<td>integrity[TaxFileNumber], integrity[CreditHistory]</td>
</tr>
<tr>
<td>(R2)</td>
<td>authorization[LoanOfficier]</td>
</tr>
<tr>
<td>(R3)</td>
<td>confidentiality[TaxFileNumber], confidentiality[CreditHistory]</td>
</tr>
<tr>
<td>(R4)</td>
<td>non-repudiation[LoanData]</td>
</tr>
</tbody>
</table>

B. Deriving Web Service Security Objectives

Service Design and Annotation. To progress from the business analysis phase to the design phase and translate business security objectives into WS security objectives, we first annotate the abstract service elements for the application (as they are designed by the engineers) with the corresponding business entities from the application’s business entity model. DataItems can be mapped to WS messages or their parts; processors can be mapped to WSs, their portTypes or operations (or BPEL processes that are exposed as WSs); userRoles are mapped to applications that the users interact with. The mapping is many-to-many as a business entity can be refined into multiple abstract service elements and a service element might at the same time represent multiple business entities. Part of the mapping for the SwinMortgage example can be seen in Fig. 2 in the previous sub-section.

The mapping annotation can be in the form of a custom mapping table or other formats. We recommend WSDL-S [7] for its simplicity, popularity and standard-compliance. The annotation serves two purposes, it first improves the traceability of service design decisions and secondly it enables the automatic derivation of service security objectives from the business security objectives.

C. Configuring Security Deployment

Following the design phase, new services are developed or existing services are leveraged. Once the services and related elements are created, developers need to come up with a deployment model to prepare them for deployment. At present, such deployment model (e.g. UML deployment diagram) typically concerns the functional aspects of the system including topology of services, service composition and clients, the endpoints where services are deployed and communication protocols (SOAP/HTTP for instance) they use. We argue that such model should also be extended to systematically capture deployment configurations concerning security and other non-functional properties. For the moment, there is the lack of a meta-model for security-related deployment information for an application. Therefore, different implementations of WS-Security such as Apache Rampart, Oracle Application Server and WSE use their own methods of configuring application-specific security infrastructure information, typically by utilizing custom config file with different details and schemas. This hinders, among other things, the substitutability between different WS-Security implementations.

We thus propose a meta-model for security deployment that includes a set of notations and concepts to provide a systematic and standardized way for representing the security characteristics of a SOA system in deployment. Fig. 3 shows the meta-model while Fig. 4 presents an instantiation of the meta-model for SwinMortgage. For each application, an instance of the meta-model is created to model how each service element is individually secured and how they securely communicate with each other. In particular, the model focuses on 1) the type of trust tokens associated with each service elements and 2) the trust relationships between service elements and the message flow between them.

As can be seen in Fig. 3, the meta-model comprises of two main types of concepts: 1) system elements and their relationships and 2) a hierarchy of security tokens owned by such elements to protect the system (shaded ellipses). The key elements in the model are as follows.

Security entities (se): are services and other software components in an SOA system. Security entities can own security tokens, have trust relationships with other entities and can interact with others by sending and receiving messages. For example, SwinMortgage (see Fig. 3) has five security entities (denoted <<se>>) including one Web application (SwinMortgageWeb), one BPEL business process (SwinMortgageBPEL) and three WSs.

Messages (m): define the set of messages such as processLoanRequest that travel between security entities. Messages can comprise of different parts. There are four pairs of messages in SwinMortgage including, for example, checkCreditHistoryRequest/Response (denoted MReq>, MRes) in Fig. 4.

Security channels (sc): between security entities denoted SC_{X->Y} is a uni-directional binary relationship (e.g., SC_{X->Y} denotes a channel from X to Y). In SwinMortgage we have eight security channels, including SC_{SwinMortgageWeb->SwinMortgageBPEL} (see Fig. 4).

Message flow (mf): SC_{X->Y} \{m_1, \ldots, m_n\} defines the set of messages \{m_1, \ldots, m_n\} that travel on the channel SC_{X->Y}. An example flow in SwinMortgage (see Fig. 4) is SC_{SwinMortgageWeb->SwinMortgageBPEL:processLoanRequest}.
Security tokens (st): define the types of security tokens available in a specific security context. These tokens can include (1) individual tokens (i.e., public and private key pairs), such as X509{Ka, Ks} in Fig. 4; (2) symmetric tokens (i.e., a shared key between two entities), such as $K_{\text{SwinMortgage}}$, PropertyAnalyserService (denoted as $<<\text{shareKey>>}$ in Fig. 4); or (3) asymmetric tokens (i.e. a token, typically a pair of username/password, of one entity on another entity), such as $K_{\text{SwinMortgage}}$BPEL-$>$RepaymentCapacityAnalyzingService (denoted as $<<\text{hasUserNameOn)>>$ in Fig. 4).

D. Realizing Security Objectives with Security Functions

This section presents the procedure and techniques involved in transforming service security objectives into a set of security functions. This essentially transforms high-level security requirements (what need to be guaranteed) into system-level mechanisms which realize such requirements (how the requirements are achieved). The transformation relies on a set of security patterns and best practices that we organize into the generic security model. Below, we first discuss the model and then the transformation procedure.

Generic Security Model: Security Attributes and Realization Mechanisms. The generic security model can be used across different applications. It maps each security attribute (discussed in section 3A) to one or more security realization mechanisms, each of which is a sequence of security functions that can be performed by some function performer to realize the security objective. A function performer can be a message sender or receiver, and represents the party that performs the function in a message exchange. Realization mechanisms for each attribute are drawn from various security patterns [8, 9], standards [5] and best practices [10].

A security function is characterized by a function name and a set of function properties which are pairs of property name and property value. From analyzing [8, 9],[10] and WS-Security, we have identified the following security functions: encrypt(), decrypt(), sign(), verifySignature(), log(), attachTimestamp(), verifyTimestamp(), attachUsernameToken(), verifyUsernameToken(), attachUserRightToken(), verifyUserRightToken(), attachBinaryToken(), verifyBinaryToken(). We discuss here as an example the function sign().

The sign() function involves generating a hash of the message and encrypting it to generate a digital signature, using a key associated with the message sender. It has four properties which are its binding method which can be symmetric (using a shared secret) or asymmetric (using sender’s key pair); the algorithm for generating the digest (typically SHA); the algorithm for creating the signature (typically HMAC, RSA or DSA); and the token used for generating the digest and signature (this is application-specific and is provided by the security deployment model). This can be represented as

\[
\text{sign(binding)\{symmetric|asymmetric\}, digestGenerationAlgorithm\{SHA\}, sigGenerationAlgorithm\{HMAC|RSA|DSA\}, signingToken\{app-specific\}}
\]

An overview of the WS security model is presented in Fig. 5. In this figure, we omit the details of function performer and some of the verification functions (such as verifyTimeStamp()) for brevity. Note that even though the generic security model is generic, developers can customize the model to suit their organization or project’s requirements. Such customization is in the form of selecting the preferred realization mechanism for each security attribute and selecting the preferred property value for each property. For example, SwinMortgage follows the ISO/IEC TR 17944 [4] standard and WS-I Basic Security Profile [11] and uses asymmetric binding for signing and RSA as the sigGenerationAlgorithm. Details of each security attribute and its realization mechanisms are explained below.

The format $a:rm$, where $a$ is the security attribute and $rm$ is the realization mechanism that can be used to achieve $a$, is used. When there exist multiple $rm$ for one $a$, we use the format $a:XOR(rm_1,\ldots,rm_n)$ to denote one exclusive choice of a $rm$ (out of $n$ possible $rm$) for each $a$. Each $rm$ is represented in the format $<p_1f_1,\ldots,p_nf_n>$ denoting a sequence of security function $f_i$ performed by performer $p_i$ [$i=1\ldots,n$] respectively for that realization mechanism.

Message Confidentiality: ensures messages can be read only by intended recipients, and is achieved using message encryption at sender and decryption at receiver.

\[\text{confidentiality: <sender.encrypt(), receiver.decrypt()}>\]
Message Integrity: ensures messages are not tampered with while in transit, and is achieved using digital signature (signing) at the message sender end and signature verification at the receiver end.

Non-repudiation: ensures the sender of a message cannot repudiate that he has sent the message, and the receiver cannot repudiate that he has received the message. To achieve this, the sender must first sign() the original message using his private key (asymmetric binding). Upon receiving the message the receiver must log() the received message and acknowledge() the receipt of the message by sending back a signed receipt to the sender. The sender needs to log() that acknowledgement for proof that the receiver has actually received the message. For the moment, WS-Security does not fully support non-repudiation.

Audit: ensures messages sent and received are recorded for later analysis. This is achieved by logging both the sending and the receiving of the message at the sender and receiver ends respectively.

Audit: <sender.log(), receiver.log()>

Message freshness: ensures that the message received by the receiver is current (i.e. not an old message captured and later resent by an intermediary). This is achieved by attaching a timestamp into the message and then signing it. The signature and the timestamp are then verified by the receiver.

message freshness: <sender.attachTimeStamp(), sender.sign(), receiver.verifySignature(), receiver.verifyTimeStamp()>

Transformation Procedure. Fig. 6 presents the procedure for transforming a set of service security objective into a set of security functions performed by the relevant entities to realize the objectives. A visualization of the transformation for the service security objective non-repudiation[processLoanRequest] is given in Fig. 7.

The transformation procedure takes a set of service security objective S and returns A - a mapping of a set of WS elements w to a set of security functions G, with property values fully specified, that need to be performed by each w. It has two major steps 1) identify a mapping of WS elements w and the set of security functions that need to be performed on them (Line 2-16, and 2) for each security function to be performed on an element, identify the values for their function properties. The first step is automatic and the second step can be automatic or interactive as required.

In the first step, an initial mapping with keys being abstract WS elements (set of w) and values being empty (line 2) is declared. The procedure then loops through all service security objectives (line 3). For each security objective si with security attribute ai (such as non-repudiation) and WS element w (such as processLoanRequest) it looks up the generic security model to identify the corresponding realization mechanism mj for ai (in this case a sequence of <sender.sign(binding=asymmetric), receiver.log(), receiver.acknowledge(), sender.log()> (see above) (line 4).
For each pair of \( \text{performer } p_{jk} \text{ and function } f_{jk} \) in \( m_j \), we then map the \text{performer} to a concrete WS or client application (security entities) that performs it. The mapping is determined as follows. If \( w_{se} \) is a message or a message part (line 7), the application’s security deployment model is looked up to identify the security channel on which \( w_{se} \) is delivered (line 8). If \( \text{performer} \) is the message sender it is set to the service/application which is the sender end of the channel (line 9). Similarly, if \( \text{performer} \) is the receiver then it is set to the receiver service/application of the channel (line 10). For example, for the pair \( \text{sender} \cdot \text{sign}() \) to be performed on \( \text{processLoanApplication} \) in the example above, by looking up the SwinMortgage security deployment model (Fig. 4) it is known that \( \text{processLoanRequest} \) travels on the channel between SwinMortgageWeb and SwinMortgageBPEL thus \( \text{sender} \) corresponds to SwinMortgageWeb. We then add \( \text{sign}[\text{processLoanRequest}] \) to the set of functions performed by SwinMortgageWeb (line 17). If \text{security objectives} are specified on services, portTypes, operations or service clients, the security functions to be performed on them and the function performer are identified in a similar manner (line 11-14).

In the second step, we assign properties values to each of the identified function (line 17-21). This step loops through all the functions \( f_{qp} \) to be performed on each service element \( w_q \) and, for each function, loops through all the properties \( \text{prop}_{qp} \) of the function. If the property’s value is set by the application’s security deployment model (SDM), it is looked up from such model, passing information about the performer of the function (line 20). For brevity, we do not discuss the logic of this look up. For example, it is known from the previous step that the function \( \text{sign}() \) is performed by SwinMortgageWeb which owns an X.509 certificate thus the value of the signing token can be set to the private key of SwinMortgageWeb endorsed by the certificate. If the property’s values are specified in the generic security model instead, the preferred value for the property is selected (line 21). For example, in SwinMortgage, out of three possible
values for digestGenerationAlgorithm, SHA is preferred (as discussed previously) and it thus becomes the selected value.

Figure 7. Example transformation

Using this procedure, an example sign() function’s property values can be initialized as

\[
\text{sign(binding)} = \text{asymmetric}, \\
\text{digestGenerationAlgorithm} = \text{SHA}, \\
\text{sigGenerationAlgorithm} = \text{RSA}, \\
\text{signingToken} = X.509
\]

The function is then performed by SwinMortgageWeb on processLoanRequest (see Fig. 7).

The procedure presented above assumes that the generic security model is customized (i.e. when there exists multiple realization mechanisms for a security attribute, a preferred one is specified and when there exist multiple potential values for a security property, a preferred value is specified). In such a simple case, this step can be automated. In a more complex case where the preferences are not pre-specified, this is an interactive step which involves the developer selecting their preferred realization mechanisms or property values.

E. Generating WS-SecurityPolicy

Once the security functions are generated from the security objectives, they are mapped into WS security policies. Due to space limitations, we do not discuss the full mapping, a summary is presented below.

1. Functions are mapped to WS-SecurityPolicy protection or binding assertions

<table>
<thead>
<tr>
<th>Function</th>
<th>WS-SecurityPolicy Protection and Binding Assertion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Encrypt()</td>
<td>&lt;sp:EncryptedElement&gt;</td>
</tr>
<tr>
<td>Sign()</td>
<td>&lt;sp:SignedElement&gt;</td>
</tr>
<tr>
<td>Acknowledge()</td>
<td>&lt;sp:SignedElement&gt;</td>
</tr>
<tr>
<td>Log()</td>
<td>&lt;sp:LogElement&gt; This assertion has not been defined</td>
</tr>
<tr>
<td>AttachUsernameToken()</td>
<td>&lt;sp:UsernameToken&gt;</td>
</tr>
<tr>
<td>AttachUserRightToken()</td>
<td>&lt;sp:SAMLToken&gt;</td>
</tr>
<tr>
<td>AttachTimeStamp</td>
<td>&lt;sp:Timestamp&gt;</td>
</tr>
</tbody>
</table>

2. Function properties are mapped to binding assertions

<table>
<thead>
<tr>
<th>Properties</th>
<th>WS-SecurityPolicy Binding Assertion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Binding value = symmetric</td>
<td>&lt;sp:SymmetricBinding&gt;</td>
</tr>
<tr>
<td>Binding value = asymmetric</td>
<td>&lt;sp:AsymmetricBinding&gt;</td>
</tr>
<tr>
<td>Binding value = hybrid</td>
<td>&lt;sp:HybridBinding&gt; (not defined in WS-Security)</td>
</tr>
<tr>
<td>Algorithm</td>
<td>&lt;sp:AlgorithmSuite&gt;</td>
</tr>
<tr>
<td>Key</td>
<td>&lt;sp:KeyInfo&gt;</td>
</tr>
</tbody>
</table>

The excerpt below shows the skeleton of the generated WS-SecurityPolicy fragment for the function sign() applying on processLoanRequest to be performed by SwinMortgageWeb as discussed in section 3D above.

As can be seen in the excerpt, sign() is mapped to the WS-SecurityPolicy protection assertion signedElements configured to be performed on the processLoanRequest message. We assume asymmetric binding, keyGenAlgorithm=SHA, signatureGenAlgorithm = RSA (mapped to RsaSha1 algorithmSuite value). X.509 certificates are used as security tokens as discussed in the previous section, which are translated into protectionAssertion assertion details. This policy is then associated with the WS-Security infrastructure (e.g. Apache Rampart) where SwinMortgageWeb is deployed.

IV. PROTOTYPE TOOL

We have implemented a prototype development tool supporting SOABSE in JEE. It incorporates the generic/meta-models for use by business analysts and engineers. The prototype is designed as a multi-tier web application backed by a database. It comprises of two major tools, the business analyst tool and the software engineer tool (Fig. 8). It also implements the TransformationEngine and WS-SecurityPolicyGenerator. The meta-models and application-specific models and artifacts are stored in the database.

The business analyst tool allows a business analyst to define an application’s business entity model and specify security objectives on its business entities. Via a web
interface (see the left side of Fig. 9) a business analyst can formulate business security objectives by ticking a set of checkboxes corresponding to the desired security attributes and business entities. The software engineer tool allows a software engineer to annotate system-level services with business concepts (see the right side of Fig. 9), customize the generic security model, and instantiate the security deployment model.

![Figure 8. SOABSE prototype's conceptual architecture](image)

Figure 9. Editing quality objectives (left) and annotating services (right)

The SOABSE TransformationEngine takes a set of security objectives and queries all the models for information and then uses the transformation procedure to convert the quality objectives into a set of security functions. The WS-SecurityPolicyGenerator then maps such functions into WS security policies.

In the prototype, all models are stored in the MySQL SOABSE_DB database. Database tables are mapped to object oriented models in Java using the JPA Object-relational mapping technology. The application is hosted using a Glassfish application server.

V. RELATED WORK

There have been a number of efforts in leveraging model-driven techniques for security engineering, starting with SecureUML [2] and UMLSec [12]. The application of such techniques into WS security modelling has been explored in work such as [13]. These approaches allow the creation of security-aware applications in an early phase of system development. Unlike our approach, the models in [2, 12,13] stay at the system-level, which hinders the involvement of business analysts in the modelling. Moreover, while [2] focuses on role-based access control, [13] focuses more on confidentiality and integrity and neither provides a comprehensive model for other security qualities. In [14], the authors proposed a model-driven approach for annotating security requirements in business processes and transforming them into WS-SecurityPolicy (for authentication, integrity, and confidentiality) or XACML (for authorization). In such work, security experts create platform-independent security models for security requirements in an application, which are then mapped to various platform configurations. However, similar to [13], users [14] are security experts who model security requirements at the architectural level and thus there is still the requirement traceability gap between the business and architectural level.

In the area of SOA security engineering, the Sectet framework [15] provides a language to model security requirements for inter-organizational workflow. The model concerns three basic security qualities (confidentiality, integrity and non-repudiation) and some “advanced” security issues (access control, usage control, and custom “domain principles”). Such quality objectives are assigned to nodes in a distributed system and are enforced using the Sectet reference architecture. Unlike our transformation procedure, Sectet’s transformation process is mentioned very briefly and it is unclear how the abstraction is concretized (for example, how confidentiality is realized at the system-level).

There is also a body of work that performs formal analysis on WS security. In [16], a WS security verification tool has been created that allows the translation of security configurations in WS-SecurityPolicy into the TulaFale language which are then verified for correctness using formal logics-based reasoning. Such techniques can be used to verify the WS-SecurityPolicy generated by our approach.

In our previous work [17], we have proposed a general HOPE framework addressing the issue of aligning business requirements with system-level implementation of non-functional properties. In this work, we focus on addressing the requirement traceability of security-oriented development. The proposed SOABSE process and related modelling and transformation techniques substantially extend HOPE to enable the systematic analysis, design and deployment of security for WS-based SOA systems.

VI. DISCUSSION

In this section we discuss the advantages and limitations of the SOABSE approach. Our approach can improve the current WS security development practice with requirement traceability. This can be achieved because in SOABSE a systematic process is applied and security requirements are modeled at the very first phase of system development,
which are then semi-automatically transformed to system-level realization mechanisms.

Other contributions of the approach are the generic security model that can be applied to different WS-based applications with minimal customization, and the security deployment meta-model that provides a systematic way to represent an application’s security deployment characteristics. The generic security model is able to capture all the relevant common security objectives as defined in the Common Criteria [5] and their realizations in the form of security functions. We have also provided a mapping of the generic model to WS-SecurityPolicy and a mapping of the security deployment meta-model to the Apache Rampart configuration schema, which is not further discussed due to lack of space.

One of the main limitations of SOABSE is the set of security aspects supported are limited to what can naturally be supported by a security infrastructure, in this case WS-* stack and thus SOABSE cannot support security features which need to be realized programmatically in WSs. Moreover, as shown in Table 3 and Table 4, WS-SecurityPolicy currently does not support all identified security attributes and their realization mechanisms.

Another major limitation of the WS-SecurityPolicy model is that it presents a local viewpoint of security (what needs to be performed by individual security entities in a system) and lacks a system-wide global security view (how security actions and steps are coordinated among different entities to achieve a common goal). To overcome this, a system-wide security coordination model/language is needed.

The SOABSE development process also poses some additional overheads compared with current development methods, particularly the need to model a business entities model, business security objectives; the need to customize the generic security model and define the application-specific security model. However, we argue that the information captured in such models is necessary for the development of SOA security following any development process. At present, while not being modeled explicitly, they are still implicitly captured and represented. Besides, in this paper, we assume we would be able to specify WS security policies for all the services involved in the system. In reality, for services that exist outside the organization, we do not have control over their security settings and thus, what we need to do instead is to create “required” services from the security perspective and use a policy-oriented discovery mechanism to locate actual services satisfying the requirements [18].

VII. CONCLUSIONS

In this paper, we have presented the SOABSE framework that, in a systematic manner, assists practitioners in defining business-oriented security requirements and transforming them into system-level WS security policies for realization in service-based applications. SOABSE includes a step-wise security development process which can be used in parallel with the traditional functional logic development process. A generic security model linking security attributes with their realization mechanisms and a meta-model for capturing application-specific security characteristics are introduced in the framework for use by the process. SOABSE improves security requirements traceability and minimizes human errors in incorporating security provisions into SOA systems. In future work, we will conduct an extensive case study to validate the applicability and scalability of the proposed approach and will investigate a mechanism to coordinate security settings from different endpoints to achieve system-wide security.

REFERENCES