Selecting Checkpoints along the Time Line: A Novel Temporal Checkpoint Selection Strategy for Monitoring a Batch of Parallel Business Processes

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Abstract—Nowadays, most business processes are running in a parallel, distributed and time-constrained manner. How to guarantee their on-time completion is a challenging issue. In the past few years, temporal checkpoint selection which selects a subset of workflow activities for verification of temporal consistency has been proved to be very successful in monitoring single, complex and large size scientific workflows. An intuitive approach is to apply those strategies to individual business processes. However, in such a case, the total number of checkpoints will be enormous, namely the cost for system monitoring and exception handling could be excessive. To address such an issue, we propose a brand new idea which selects time points along the workflow execution time line as checkpoints to monitor a batch of parallel business processes simultaneously instead of individually. Based on such an idea, a set of new definitions as well as a time-point based checkpoint selection strategy are presented in this paper. Our preliminary results demonstrate that it can achieve an order of magnitude reduction in the number of checkpoints while maintaining satisfactory on-time completion rates compared with the state-of-the-art activity-point based checkpoint selection strategy.

Index Terms—Checkpoint Selection, Temporal Verification, Parallel Processes.

I. INTRODUCTION AND RELATED WORK

With the rapid growth of e-government and e-business, government agencies and enterprises are often required to process large numbers of customer requests in a constrained period of time. Typical examples include business processes for processing hundreds of thousands of tax declarations every day in a government taxation office during the peak period of tax declaration, and millions of transactions every minute in a stock exchange corporation during the peak time of the stock market [6]. Meanwhile, these business processes are often time constrained, for instance, the tax return process for each client request may need to be finished within 2 weeks, and the clearing process and money transfers in the stock market may need to be finished before 3:00am each weekday. Failures of on-time completion will result in significant deterioration of customer satisfaction and even huge financial losses. Therefore, timely completion of business processes is critical for delivering satisfactory services. Recently, cloud computing is establishing itself as a new paradigm for delivering IT infrastructure elements such as computing, storage and network resources as IT services over the Internet [4]. Customers can access these services for running their software applications in a pay-as-you-go fashion while avoiding huge capital investment, energy consumption, and system maintenance. Cloud computing can offer powerful, on-demand and elastic computing resources which is an ideal hosting environment for running of a large batch of parallel business processes [10]. However, due to its dynamic nature, to guarantee the delivery of satisfactory service quality such as on-time completion is a big challenge. There are many efforts from both Software Engineering [9] and Distributed and Parallel Computing areas [4] dedicated to the quality assurance of cloud services.

In the last few years, temporal checkpoint selection which selects a subset of workflow activities for the verification of temporal consistency states, namely temporal verification, has been proved to be very successful in monitoring single, complex and large size scientific workflows. Here, we briefly introduce some of the terms. “Temporal consistency” means that the runtime workflow execution state satisfies the temporal constraints assigned at workflow build time which can be either the overall deadline or a milestone. “Temporal verification” is the process to determine the temporal consistency states by checking the workflow execution state at a selected activity point against a specific temporal constraint. For example, if the deadline of a workflow instance is set as 3:00PM at build time, and activity $a_p$ of the workflow instance which has been completed at 1:00PM is selected as a checkpoint at runtime, then if the rest of the workflow after $a_p$ can be finished within 2 hours, the workflow execution state is said to be of temporal consistency. Otherwise, it is said to be of temporal inconsistency, or namely, a temporal violation has occurred. In general, after a temporal violation is detected, exception handling strategies will be triggered by the workflow system to compensate for the time delays. Clearly, temporal checkpoint selection plays an important role in the above monitoring and control process because it determines where and how many times temporal verification and exception handling are required along the workflow execution. It has been proved by existing works that the larger the number of selected checkpoints, the higher the monitoring cost and exception handling cost [7]. Therefore, to select as few the number of checkpoints as possible while maintaining satisfactory on-time completion rate
is the key design objective for every checkpoint selection strategy.

In recent years, many checkpoint selection strategies, from intuitive rule based to sophisticated model based, have been proposed. For example, we can take every workflow activity as a checkpoint [3], or select the start activity as a checkpoint and add a new checkpoint after each decision activity is executed [8]. There are also some other strategies such as the one which selects an activity as a checkpoint if its execution time exceeds the maximum duration and the one if exceeds the mean duration. The checkpoint selection which satisfies the property of necessity and sufficiency named CSS$_{TD}$ is proposed in [1]. As proved, compared with all other existing strategies, it selects the minimum number of necessary and sufficient checkpoints and can guarantee the lowest violation rate by handling all detected temporal violations [7].

The state-of-the-art necessary and sufficient checkpoint selection strategies have been proved to be very successful in monitoring single, complex and large size scientific workflows. Intuitively, these strategies can be applied simultaneously to each individual process, and if every one of them is completed in time, then the entire batch of business processes are completed in time. However, in practice, the existing strategies cannot be applied directly to a large batch of business processes simply because the total number of checkpoints will be excessive. In addition, when multiple checkpoints are selected at the same time (namely multiple temporal violations are detected), exception handling strategies such as the provision of additional resources will be requested for multiple times. However, since these batched processes are usually executed in a shared resource environment such as cloud computing, the provision of a single resource may well be enough to handle multiple temporal violations.

Based on the above analysis, it is obvious that what we need is an efficient and cost-effective checkpoint selection strategy which can monitor all the processes in a simultaneous rather than individual fashion. To address such an issue, in this paper, we propose a novel idea which selects time points along the workflow system execution time line as the checkpoints instead of workflow activities to monitor a batch of parallel business processes at the same time. At each time point, the overall temporal consistency state for the batch of processes can be verified. Based on such an idea, a set of new definitions including the throughput, the candidate throughput checkpoint and the throughput consistency model will be first presented in this paper. Afterwards, we propose a time-point based checkpoint selection strategy which selects any time point as a checkpoint when the current system throughput is smaller than the expected mean throughput. Preliminary results demonstrate that it can achieve an order of magnitude reduction in the number of checkpoints while maintaining satisfactory on-time completion rates compared with other strategies.

The reminder of this paper is organised as follows. Section II proposes the novel strategy. Section III presents the preliminary experimental results. Section IV addresses the conclusions and points out the future work.

II. PROPOSED STRATEGY

The core idea of this work is to select time points instead of activity points as checkpoints for monitoring a batch of parallel processes. Those parallel processes may or may not start at the same system time point but have to be completed no later than the same deadline. Figure 1 illustrates a small segment of the trace for running a batch of parallel processes as an example scenario. Each dot in Figure 1 denotes a workflow activity where the red dots are checkpoints selected by the conventional activity-point based strategy. As can be seen in the figure, there are many selected checkpoints along the execution trace for the parallel processes. In contrast, our time-point based strategy only selects a few time points along the time line as checkpoints (denoted by red triangles and marked with $S_p^i$ to $S_{p+7}$) since it can monitor all the processes simultaneously.

Each system time point (denoted as a small vertical bar along the time line and the distance between each time point is a basic time unit, e.g. one minute) is a candidate checkpoint and our novel strategy will decide which one should be selected. In Figure 1, for easy demonstration, we illustrate a simple case where the checkpoints are equally distributed but their actual distribution can be very dynamic.

A set of basic definitions for conventional activity-point based checkpoint selection need to be modified first. These basic definitions include the throughput, the throughput checkpoint and the throughput consistency model. Please note that since the primary aim of this paper is to present the new ideas and some initial results, we try to simplify the data, models and methods used in this paper as much as possible and leave the complex extensions as future work (as detailed in Section 4). In conventional work, the basic monitoring object is the response time of each workflow activity. However, for monitoring a large batch of parallel processes, the system throughput which measures how many workflow activities are completed per time unit is a better monitoring object because it can reflect the execution states of the entire batch of processes. Furthermore, the conventional definition of system throughput does not distinguish workflow activities with different completion time. For example, it is evident that the completion of a workflow activity running for 2 hours and the completion of another activity running for 10 minutes have significant differences in their contribution to meeting the final deadline. Therefore, we modify the definition for the system throughput to explicitly represent such a difference. Here are some basic annotations: $a_i$ is a workflow activity with its mean (i.e. expected) and runtime (i.e. real) durations denoted as $M(a_i)$ and $R(a_i)$ respectively; $p_i$ is a business process

![Fig. 1. Activity-Point Based vs. Time-Point Based Strategy](image-url)
with its mean and runtime completion time denoted as $M(R_i)$ and $R(P_i)$ respectively; the basic time unit for monitoring is denoted as $bt$.

**Definition 1 (Throughput).** Given a batch of $m$ parallel business processes $BP_{m} \{P_1, P_2, ..., P_m\}$ which starts at system time $S_0$, the completion of a workflow activity $a_i$ contributes to the completion of the entire batch of processes with a value of $M(a_i)/T$ where $T = \sum_{i=1}^{m} M(R_i)$. Here, assume at the current system time point $S_t$, the set of new completed activities from the last nearest system time point $S_{t-1}$ (i.e. $S_t - S_{t-1} = bt$) is denoted as $a[1]_{S_{t-1}}^{S_t}$, then the current system throughput is defined as $TH^{S_t}_{S_{t-1}} = M(a[1]_{S_{t-1}}^{S_t})/T$.

**Definition 2 (Candidate Throughput Checkpoint).** Given the same batch of processes in **Definition 1**, a system time point $S_t$ is a candidate throughput checkpoint if $S_t - S_0 = n \times bt$ ($n = 1, 2, 3, ...$). The checkpoint selection strategy will determine whether $S_t$ is a throughput checkpoint or not.

**Definition 3 (Throughput Consistency Model).** Given the same batch of processes in **Definition 1**, and its deadline $F(BP_m)$, then at a throughput checkpoint $S_p$, the throughput consistency state of $BP_m$ is said to be: consistent if

$$R(a[1]_{S_0}^{S_p})\frac{M(a[1]_{S_{p+1}}^{S_p} BP_m)}{m \times (F(BP_m) - S_0)} + \frac{M(a[1]_{S_{p+1}}^{S_p} BP_m)}{m \times (F(BP_m) - S_0)} \leq \frac{M(a[1]_{S_0}^{S_p} BP_m)}{m \times (F(BP_m) - S_0)}$$

Otherwise, inconsistent, i.e. a throughput violation is detected.

With the above new definitions, our novel time-point based checkpoint selection strategy is presented as follows:

**Time-Point Based Checkpoint Selection Strategy CSSTP**:

At a system time point $S_p$, if $R(a[1]_{S_0}^{S_{p-1}}) > M(a[1]_{S_0}^{S_{p-1}})$, then $S_p$ is selected as a throughput checkpoint; Otherwise, it is not selected as a throughput checkpoint.

The overhead for our strategy which mainly consists of the overhead for data query and the overhead for computation is very small. It is very close to the overhead for the conventional activity-point based strategy since no additional data is required and the computation only requires simple calculations.

### III. EVALUATION

The simulation experiments are conducted in our SwinDeW-C cloud workflow system [6] and a more comprehensive experiment with similar settings can be found in [5]. The experiment simulates a batch of 1000 business processes running in parallel, each with 20 activities, and a total of 10 batches. The activity durations are randomly generated and deliberately extended by a mixture of representative distribution models such as normal, uniform and exponential to reflect the performance of different cloud services. The mean activity durations are randomly generated in a wide range of 30 milliseconds to 30 seconds. Meanwhile, some noises are also added to a randomly selected activity in each business process to simulate the effect of system uncertainties such as network congestion and performance down time. Different ratio of noises (the added delay times divided by the activity durations) from 5% to 30% are implemented. The strategy for setting the final deadline is similar to the work in [7] where a normal percentile is used to specify temporal constraints and denotes the expected probability for on-time completion. Here, we specify the normal percentiles as 1.28 which denotes the probability of 90% for on-time completion if without any temporal violation handling. This setting can be regarded as the norm, i.e. the satisfactory performance for most clients and service providers. We employ the state-of-the-art activity-point based checkpoint selection strategy CSSTP introduced in [2] as benchmark. The basic time unit for monitoring is set as 60 seconds. For the comparison purpose, we record the violation rates for the final deadline under natural situations, i.e. without any handling strategies (denoted as NIL). Meanwhile, we implement the simple elastic resource provision strategy (denoted as SERP) as the exception handling strategy. SERP employs one additional service instance when a temporal violation (either a response time violation detected by CSSTP or a throughput violation detected by CSSTP) is detected.

<table>
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<tr>
<th>Rounds</th>
<th>Normal</th>
<th>Uniform</th>
<th>Exponential</th>
<th>Noise</th>
<th>Number of Checkpoints by CSSTP</th>
<th>Number of Checkpoints by CSSTP</th>
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Table 1 shows the number of checkpoints in each round of experiment. Clearly, the number of checkpoints selected by CSSTP, i.e. the total number of response time violations for individual processes, is much higher than the number of throughput checkpoints selected by CSSTP, i.e. the number of throughput violations for the parallel processes. The reduction rate of the checkpoints ranges from 98% to 78% with an average of 87%. The results are very impressive where on average an order of magnitude reduction has been achieved. Meanwhile, the number of response time violations and the number of throughput violations both grows rapidly with the increase of noise. In contrast, the distribution of service performance seems to have less effect. For example, given the same noise, the average difference of throughput violations (e.g. R4 and R5, R6 and R7, R8 and R9) is around 20.

Figure 2 depicts the global violation rates (the unsuccessful rate for meeting the final deadline). The results of NIL represent the natural condition, i.e. without any handling strategies, where the violation rates range from 37% to 84% with an average of 65%. In contrast, with either CSSTP or
CSS<sub>TP</sub>, when either a response time or a throughput violation is detected, the SERP strategy will automatically employ one additional service instance to speed up the execution of the business processes. As shown in Figure 2, CSS<sub>TD</sub> can maintain a close to 0% violation rate by handling all detected response time violations of individual processes. However, as shown in Table 1, this is achieved by nearly 10 times more checkpoints, namely exception handling cost. As for CSS<sub>TP</sub>, the temporal violation rates range from 1% to 8% with an average of around 4% which satisfies the 90.0% on-time completion rate required by the settings of the deadlines. This result is very impressive and promising since on average an order of magnitude cost reduction has been achieved while the increase in the violation rate is very small.

To further reduce the violation rate, the handling of throughput violations is no doubt a complicated issue. The SERP strategy is very intuitive and mainly for the evaluation purpose. In the future, more effective exception handling strategies will be developed to further reduce the violation rate.

1) To further improve the throughput consistency model. The current throughput consistency model is very intuitive which only defines two states. As studied in [7], the probability based consistency models can be defined so that fine-grained temporal violations can be detected and handled accordingly to save the handling cost.

2) To further improve the performance of CSS<sub>TP</sub>. “Necessity and Sufficiency” is the ultimate goal for any generic checkpoint selection strategy. The current method used in CSS<sub>TP</sub> which only compares the runtime throughput with the mean throughput at a specific time point is very intuitive. It may turn out that after a checkpoint is selected, no throughput violation is detected, i.e. not necessary. Meanwhile, since the experimental results showed that the global violation rate is higher than 0%, it may also not be sufficient. In the future, more effective methods can be designed to improve the performance of CSS<sub>TP</sub>.

IV. CONCLUSIONS AND FUTURE WORK

Temporal checkpoint selection plays an important role in achieving on-time completion of business processes. However, conventional activity-point based checkpoint selection strategy will require an extremely large number of checkpoints in order to monitor a batch of parallel processes, and thus could result in a huge monitoring and exception handling cost. To address such an issue, this paper proposed a brand new idea which selects system time points as checkpoints to simultaneously monitor the batch of parallel processes using throughput as the performance indicator. A set of new definitions including the throughput, the candidate throughput checkpoint, the throughput consistency model, and our novel time-point based checkpoint selection strategy CSS<sub>TP</sub> have been proposed. The initial experimental results show that our strategy can achieve an order of magnitude reduction in the number of checkpoints compared with the state-of-the-art activity-point based checkpoint selection strategy while maintaining a satisfactory on-time completion rate.

To the best of our knowledge, this is the first paper that proposed the novel idea of time-point based checkpoint selection. The initial results presented in this paper promise a new research direction in the area of software temporal verification which is worth further investigation. As mentioned in Section II, since this paper is mainly for presenting the novel idea and some preliminary results, we tried to simplify the data, models and methods used in this paper as much as possible and leave the complex extensions as future work. Specifically, there are at least three research topics we need to address in the near future:

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